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SECTION 2: FORM PTO 1449 - MODIFIED



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Monga
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Atty Dkt: 2204/A97

August 15, 2001

Serial No: Not yet assigned

Date Filed: Herewith

Invention: System, Device, and Method for Managing Connection Quality in an Optical Communication System

Commissioner for Patents Washington, DC 20231

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS' INFORMATION DISCLOSURE STATEMENT

Other Documents

Exam. Ref.

Init. No. Name

- AA "Simple Wavelength Assignment Protocol", Stephen Suryaputra, et al., October 26, 1999.
 AB- "Work on the Automatic Switched Optical Network", Contribution to T1 Standards Project -T1X1.5, Newsome, et. al., January, 2000.
 - AC / Memo: "MPLS Control Plane for Switched Optical Networks", MPLS Working Group Internet Draft, Krishnaswamy, et, al., February 2000.
 - AD / Memo: "IP Over Optical Networks: A Summary of Issues" Internet Draft, N. Chandhok, et al., July 2000.
 - AE < "Architecture for the Automatic Switched Optical Network" First Draft of G.ason, Mayer, September 13, 2000 - September 15, 2000.
 - AF _ "Controlling Optical Data Networks with an Application Specific routing Protocol", Cisco Systems, Inc., 2000.
 - AG Memo: "Generalized MPLS Signaling Functional Description" Ashwood- Smith, Network Working Group Internet Draft, July 2001.
 - AH ¹ "ASON Requirements at the Client API", Newsome, et. al., September 2000.
 - AI "Automatically Switched Optical Networks (ASON)", Nortel Networks Limited, June 2000.
 - AJ "MPLS: A Progress Report", Peter Ashwood-Smith, et al., November 1, 1999, URL: http://www.networkmagazine.com/article/NMG20000426S0015.

Examiner: < **Date Considered**

NOTE FOR EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance AND not considered. Include copy of this form with next communication to applicant. 168269.1

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Substitute	ə for İorm 1449A	/PTO		Compl te il Known		
		Application Number	09/930.095			
	INFORMATION DISCLOSURE Filing Date			Filing Date	August 15, 2001	
STA1	STATEMENT BY APPLICANT		First Named Inventor	MONGA, Indermohan S.		
				Art Unit	2633	
(use as many sheets as necessary)		Examiner Name	Unassigned			
Sheet	1	of	1	Attomey Docket Number	14985BAUS01U	

		U.S. PATE	NT DOCUMENTS	
Examiner Initials	Cite No. ¹ Number- Kind Code ¹ (<i>if known</i>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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A.	INF	ORMATION	I DISCL	OSURE	Filing Date	8/15/2001	
PATENT & TRATERY	ST	ATEMENT B	BY APP	LICANT	First Named Inventor	Monga	
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Examiner Initials*	Cite No.'	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁴ (<i>il known</i>) IPER for PCT/US01/25520	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T		
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